

JC10 Rec'd PCT/PTO 22 APR 2005

FORM PTO-1390 (Modified)  
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  
(REV 12-2004)

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>051892-0113</b> U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.51) <b>107532409</b> Unassigned
INTERNATIONAL APPLICATION NO. PCT/US03/033641	INTERNATIONAL FILING DATE 10/23/2003	PRIORITY DATE CLAIMED 10/23/2002
TITLE OF INVENTION MODULAR FEMORAL COMPONENT FOR A TOTAL KNEE JOINT REPLACEMENT FOR MINIMALLY INVASIVE IMPLANTATION		
APPLICANT(S) FOR DO/EO/US Alastair J.T. CLEMOW et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p><input type="checkbox"/> has been communicated by the International Bureau.</p> <p><input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p><input type="checkbox"/> is attached hereto.</p> <p><input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p><input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).</p> <p><input type="checkbox"/> have been communicated by the International Bureau.</p> <p><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p><input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern other document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (2 pages).</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76 (3 pages).</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Copy of International Search Report (2 pages); PTO/SB/08 listing 4 documents (1 page)</p>		

JC12 Rec'd PCT/PTC 22 APR 2005

U.S. APPLICATION NO. (If known, see 37 CFR. 1.5)		INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NUMBER																																																																																																																																						
Unassigned		10/532409 PCT/US03/033641	051892-0113																																																																																																																																						
<p>The following fees have been submitted:</p> <table border="1"> <tr> <td>21. <input checked="" type="checkbox"/> Basic national fee</td> <td>\$300</td> <td>\$ 300.00</td> <td></td> </tr> <tr> <td>22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)</td> <td>\$100</td> <td>\$ 100.00</td> <td></td> </tr> <tr> <td>All other situations</td> <td>\$200</td> <td></td> <td></td> </tr> <tr> <td>23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority</td> <td>\$100</td> <td></td> <td></td> </tr> <tr> <td>International Search Report prepared and provided to the Office</td> <td>\$400</td> <td></td> <td></td> </tr> <tr> <td>All other situations</td> <td>\$500</td> <td>\$ 100.00</td> <td></td> </tr> <tr> <td colspan="2"><b>TOTAL OF ABOVE 21, 22 and 23 =</b></td> <td>\$ 500.00</td> <td></td> </tr> <tr> <td colspan="5"> <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.         </td> </tr> <tr> <td>Total Sheets</td> <td>Extra sheets</td> <td>Number of each additional 50 or fraction thereof (round up to a whole number)</td> <td colspan="2">RATE</td> </tr> <tr> <td>28 - 100 = 0</td> <td>/50 = 0</td> <td></td> <td colspan="2">\$ 0.00</td> </tr> <tr> <td colspan="5">Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))</td> </tr> <tr> <td colspan="2">CLAIMS</td> <td>NUMBER FILED</td> <td>NUMBER EXTRA</td> <td>RATE</td> </tr> <tr> <td colspan="2">Total Claims</td> <td>7 - 20 = 0</td> <td></td> <td>x \$ 50.00 \$ 0.00</td> </tr> <tr> <td colspan="2">Independent Claims</td> <td>1 - 3 = 0</td> <td></td> <td>x \$ 200.00 \$ 0.00</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td>+ \$ 360.00</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$ 630.00</td> </tr> <tr> <td colspan="4"> <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.         </td> <td>+ \$ 0.00</td> </tr> <tr> <td colspan="4"><b>SUBTOTAL =</b></td> <td>\$ 630.00</td> </tr> <tr> <td colspan="4">Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL NATIONAL FEE =</b></td> <td>\$ 630.00</td> </tr> <tr> <td colspan="4">Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property</td> <td>\$</td> </tr> <tr> <td colspan="4"><b>TOTAL FEES ENCLOSED =</b></td> <td>\$ 630.00</td> </tr> <tr> <td colspan="4"></td> <td>         Amount to be refunded:          charged:       </td> </tr> <tr> <td colspan="5">         a. <input checked="" type="checkbox"/> A check in the amount of \$630.00 to cover the above fees is enclosed.          b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed.          c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u>. A duplicate copy of this sheet is enclosed.          d. <input type="checkbox"/> Fees are to be charge to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.       </td> </tr> <tr> <td colspan="5"> <b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b> </td> </tr> <tr> <td colspan="5">SEND ALL CORRESPONDENCE TO:</td> </tr> <tr> <td colspan="5">           SIGNATURE  <u>Andrew E. Rawlins</u>          NAME  <u>34,702</u>          REGISTRATION NUMBER       </td> </tr> <tr> <td colspan="5">         Foley &amp; Lardner LLP          Customer Number: 22428       </td> </tr> </table>					21. <input checked="" type="checkbox"/> Basic national fee	\$300	\$ 300.00		22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)	\$100	\$ 100.00		All other situations	\$200			23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	\$100			International Search Report prepared and provided to the Office	\$400			All other situations	\$500	\$ 100.00		<b>TOTAL OF ABOVE 21, 22 and 23 =</b>		\$ 500.00		<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.					Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		28 - 100 = 0	/50 = 0		\$ 0.00		Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))					CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	Total Claims		7 - 20 = 0		x \$ 50.00 \$ 0.00	Independent Claims		1 - 3 = 0		x \$ 200.00 \$ 0.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360.00	\$	<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 630.00	<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				+ \$ 0.00	<b>SUBTOTAL =</b>				\$ 630.00	Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	<b>TOTAL NATIONAL FEE =</b>				\$ 630.00	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property				\$	<b>TOTAL FEES ENCLOSED =</b>				\$ 630.00					Amount to be refunded: charged:	a. <input checked="" type="checkbox"/> A check in the amount of \$630.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charge to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.					<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b>					SEND ALL CORRESPONDENCE TO:					 SIGNATURE <u>Andrew E. Rawlins</u> NAME <u>34,702</u> REGISTRATION NUMBER					Foley & Lardner LLP Customer Number: 22428				
21. <input checked="" type="checkbox"/> Basic national fee	\$300	\$ 300.00																																																																																																																																							
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)	\$100	\$ 100.00																																																																																																																																							
All other situations	\$200																																																																																																																																								
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	\$100																																																																																																																																								
International Search Report prepared and provided to the Office	\$400																																																																																																																																								
All other situations	\$500	\$ 100.00																																																																																																																																							
<b>TOTAL OF ABOVE 21, 22 and 23 =</b>		\$ 500.00																																																																																																																																							
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.																																																																																																																																									
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																																																																																																																																						
28 - 100 = 0	/50 = 0		\$ 0.00																																																																																																																																						
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))																																																																																																																																									
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE																																																																																																																																					
Total Claims		7 - 20 = 0		x \$ 50.00 \$ 0.00																																																																																																																																					
Independent Claims		1 - 3 = 0		x \$ 200.00 \$ 0.00																																																																																																																																					
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360.00	\$																																																																																																																																					
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 630.00																																																																																																																																					
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.				+ \$ 0.00																																																																																																																																					
<b>SUBTOTAL =</b>				\$ 630.00																																																																																																																																					
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$																																																																																																																																					
<b>TOTAL NATIONAL FEE =</b>				\$ 630.00																																																																																																																																					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property				\$																																																																																																																																					
<b>TOTAL FEES ENCLOSED =</b>				\$ 630.00																																																																																																																																					
				Amount to be refunded: charged:																																																																																																																																					
a. <input checked="" type="checkbox"/> A check in the amount of \$630.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. <u>19-0741</u> in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0741</u> . A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charge to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.																																																																																																																																									
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</b>																																																																																																																																									
SEND ALL CORRESPONDENCE TO:																																																																																																																																									
 SIGNATURE <u>Andrew E. Rawlins</u> NAME <u>34,702</u> REGISTRATION NUMBER																																																																																																																																									
Foley & Lardner LLP Customer Number: 22428																																																																																																																																									